

UNITED STATES DISTRICT COURT

for the

District of Massachusetts

United States of America

v.

ROGER KNOX

Case No.

18-6268-MPK

*Defendant(s)*FILED
Clerk's Office
USDC, Mass.

Date 9/17/18

By Kellyn Bobint
Deputy Clerk

CRIMINAL COMPLAINT

I, the complainant in this case, state that the following is true to the best of my knowledge and belief.

On or about the date(s) of 2015 to present in the county of Middlesex in the
District of Massachusetts, the defendant(s) violated:*Code Section**Offense Description*15 U.S.C. §§ 78j(b) and 78ff and 17
C.F.R. § 240.10b-5

Securities Fraud

18 U.S.C. § 371

Conspiracy to commit securities fraud

This criminal complaint is based on these facts:

See attached affidavit of FBI SA Keith Brown

☐ Continued on the attached sheet.


Sworn to before me and signed in my presence.

Date: 09/17/2018

City and state: Boston, MA

*Complainant's signature*

Keith Brown, FBI SA

Printed name and title
Judge's signature

M. Page Kelley, US Magistrate Judge

Printed name and title